

1 **IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

2 Serial No.10/815,099
3 Filing Date 3/30/2004
4 Confirmation No.....6569
5 First Named Inventor..... Michael J. Sutherland
6 Assignee.....Employers Reinsurance Corporation
7 Group Art Unit
8 Examiner
9 Attorney's Docket No. ER1-0009US
10 Title: Strategies For Managing Recommendations

11 **INFORMATION DISCLOSURE STATEMENT**

12 *References -- See Attached Form PTO-1449*

13 **REMARKS**

14 The citations listed are submitted in compliance with the duty of disclosure
15 defined in 37 CFR §1.56. The Examiner is requested to make these citations of
16 official record in this application.

17 Respectfully Submitted,

18 Date: 4/28/2007

19 By: David M. Huntley
20 David M. Huntley
21 Reg. No. 40309

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Not for submission under 37 CFR 1.99)

Application Number	10815099
Filing Date	2004-03-30
First Named Inventor	Michael J. Sutherland
Art Unit	
Examiner Name	
Attorney Docket Number	ER1-0009US

U.S. PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	5852819		1998-12-22	Beller	
	2	6023694		2000-02-08	Kouchi, et al.	
	3	6631382		2003-10-07	Kouchi, et al.	

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U.S. PATENT APPLICATION PUBLICATIONS

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20020133504		2002-09-19	Vlahos, et al.	
	2	20030004967		2003-01-02	Calderaro, et al.	
	3	20030014287		2003-01-16	Williams, et al.	
	4	20030036087		2003-02-20	Kaushikkar, et al.	

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5	20030191780	A1	2003-10-09	Heger, et al.	
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FOREIGN PATENT DOCUMENTS

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	1	EP1209851	EP		2002-05-29	Pajak, et al.		<input type="checkbox"/>

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NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
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Attorney Docket Number	ER1-0009US

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☒ None

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**